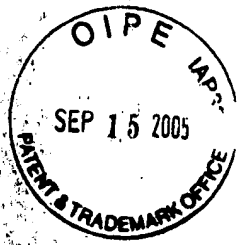


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IFW



**BARNES & THORNBURG LLP**

600 One Summit Square  
Fort Wayne, Indiana 46802  
(260) 423-9440

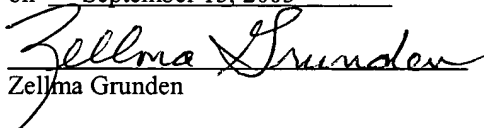
**PATENT APPLICATION  
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Customer No.: 23641 }  
Application No.: 09/804667 ✓ }  
Confirmation No.: 1994 }  
Filing Date: March 12, 2001 }  
Group Art Unit: Unknown }  
Examiner Name: Unknown }  
Attorney Docket No.: 37168/82320 }  
First Named Inventor: Denis G. Schwartz }  
Title: METHOD AND APPARATUS }  
FOR PROVIDING }  
RETIREMENT INCOME }  
BENEFITS }

**Certificate Under 37 CFR 1.10**

Express Mail Label No. EV 516 954 635  
US

I hereby certify that this correspondence is being deposited with the United States Postal Service's "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated below and is addressed to: Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313-1450

on September 15, 2005  
  
Zellma Grunden

**TRANSMITTAL FORM**

(to be used for all correspondence after initial filing)

**ENCLOSURES (check all that apply)**

- |   |  |
|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form  | <input type="checkbox"/> Express Abandonment Request                         |
| <input checked="" type="checkbox"/> Fee Attached          | <input type="checkbox"/> Information Disclosure Statement                    |
| <input checked="" type="checkbox"/> Preliminary Amendment | <input type="checkbox"/> Certified Copy of Priority Document(s)              |
| <input type="checkbox"/> After Final                      | <input type="checkbox"/> Response to Missing Parts/Incomplete Application    |
| <input type="checkbox"/> Affidavits/declaration(s)        | <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |
| <input type="checkbox"/> Extension of Time Request        |  |

- ☐ Drawing(s)
- ☐ Licensing-related Papers
- ☐ Petition
- ☐ Petition to Convert to a Provisional Application
- ☐ Power of Attorney, Revocation Change of Correspondence Address
- ☐ Terminal Disclaimer
- ☐ Request for Refund
- ☐ CD, Number of CD(s) \_\_\_\_\_
- ☐ After Allowance Communication to Group

- ☐ Appeal Communication to Board of Appeals and Interferences
- ☐ Appeal Communication to Group (Appeal Notice, Brief, Reply Brief)
- ☐ Proprietary Information
- ☐ Status Letter
- ☒ Other Enclosure(s) (please identify below):

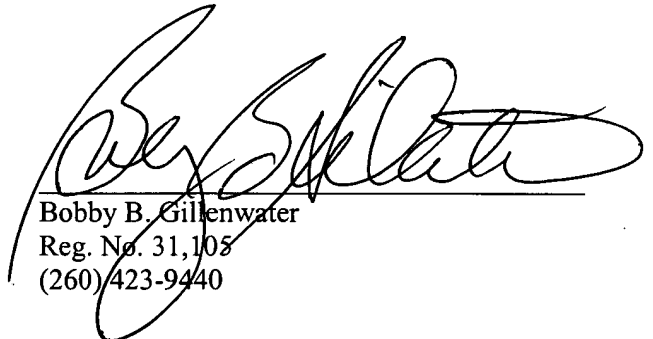
(a) Check totaling \$800.00

(b) Return Receipt Postcard

(c) \_\_\_\_\_

(d) \_\_\_\_\_

Remarks:

  
Bobby B. Gillenwater  
Reg. No. 31,105  
(260) 423-9440

Date: September 15, 2005

FWDS01 BBG 199529\_1

(Rev. 08/03)

**BARNES & THORNBURG LLP**

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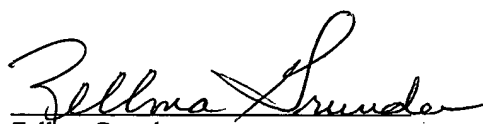
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on September 15, 2005

  
Zellma Grunden

**FEE TRANSMITTAL FOR FY 2005**

**TOTAL AMOUNT OF PAYMENT: \$800.00**

**METHOD OF PAYMENT**

1. ☒ The Commissioner is hereby authorized to charge any additional fee required under 37 CFR 1.16 and 1.17 and credit any overpayments to:

**Deposit Account Number: 02-1010 (37168/82320)**

**Deposit Account Name: Barnes & Thornburg LLP**

- ☐ Applicant claims small entity status. See 37 CFR 1.27

2. ☒ **Check**      ☐ Credit Card      ☐ Money Order      ☐ Other ☐ None

## **FEE CALCULATION**

### 1. Basic Filing Fee:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1011	\$300.00	2011	\$150.00	Utility Filing Fee	
1012	\$200.00	2012	\$100.00	Design Filing Fee	
1017	\$200.00	2017	\$100.00	Design Filing Fee (CPA)	
1013	\$200.00	2013	\$100.00	Plant Filing Fee	
1014	\$300.00	2014	\$150.00	Reissue Filing Fee	
1005	\$200.00	2005	\$100.00	Provisional Filing Fee	
SUBTOTAL (1)					0.00

### 2. Patent Search Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1111	\$500.00	2111	\$250.00	Utility Fee	
1112	\$100.00	2112	\$50.00	Design Fee	
1113	\$300.00	2113	\$150.00	Plant Fee	
1114	\$500.00	2114	\$250.00	Reissue Fee	
SUBTOTAL (2)					0.00

### 3. Patent Examination Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1311	\$200.00	2311	\$100.00	Utility Examination Fee	
1312	\$130.00	2312	\$65.00	Design Examination Fee	
1313	\$160.00	2313	\$80.00	Plant Examination Fee	
1314	\$600.00	2314	\$600.00	Reissue Examination Fee	
SUBTOTAL (3)					0.00

### 4. Extra Claim Fees:

			Extra Claims		Fee from Below	Fee Paid
Total Claims	36	-20**=	16	x	50.00	800.00
Independent Claims	2	- 3**=	0	x		0.00
Multiple Dependent Claim						0.00
SUBTOTAL (4)						800.00

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1202	\$50.00	2202	\$25.00	Claims in excess of 20
1201	\$200.00	2201	\$100.00	Independent claims in excess of 3
1203	\$360.00	2203	\$180.00	Multiple dependent claims, if not paid

1204	\$88.00	2204	\$44.00	** Reissue independent claims over original patent
1205	\$18.00	2205	\$9.00	** Reissue claims in excess of 20 and over original patent

\*\* or number previously paid, if greater; For Reissues, see above. For multiple dependent claims, pay fee only once no matter how many multiple dependent claims you have.

#### 5. Application Size Fee


If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250.00 (\$125.00 for small entity) for each additional 50 sheets or fraction thereof.

	Extra Sheets	Number of each additional 50 (Round up to whole number)	Fee from Below	Fee Paid
Total Sheets	<u>          </u> - 100 = <u>          </u> / 50 = <u>          </u>			
			SUBTOTAL (5)	0.00

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description
1081	\$250.00	2081	\$125.00	Sheets in excess of 100

#### 6. Additional Fees:

Large Fee Code	Entity Fee	Small Fee Code	Entity Fee	Fee Description	Fee Paid
1051	\$130.00	2051	\$65.00	Surcharge - late filing fee or oath	
1052	\$130.00	1052	\$130.00	Surcharge - late provisional filing fee or cover sheet	
1053	\$130.00	1053	\$130.00	Non-English specification	
1812	\$2,520.00	1812	\$2,520.00	For filing a request for ex parte reexamination	
1804	\$920.00*	1804	\$920.00*	Requesting publication of SIR prior to Examiner action	
1805	\$1,840.00*	1805	\$1,840.00*	Requesting publication of SIR after to Examiner action	
1251	\$120.00	2251	\$60.00	Extension for reply within first month	
1252	\$450.00	2252	\$225.00	Extension for reply within second month	
1253	\$1,020.00	2253	\$510.00	Extension for reply within third month	
1254	\$1,590.00	2254	\$795.00	Extension for reply within fourth month	
1255	\$2,160.00	2255	\$1,080.00	Extension for reply within fifth month	
1401	\$500.00	2401	\$250.00	Notice of Appeal	
1402	\$500.00	2402	\$250.00	Filing a brief in support of an appeal	
1403	\$1,000.00	2403	\$500.00	Request for oral hearing	
1451	\$1,510.00	1451	\$1,510.00	Petition to institute a public use proceeding	
1452	\$500.00	2452	\$250.00	Petition to revive - unavoidable	
1453	\$1,500.00	2453	\$750.00	Petition to revive - unintentional	
1501	\$1,400.00	2501	\$700.00	Utility issue fee	
1502	\$800.00	2502	\$400.00	Design issue fee	
1503	\$1,100.00	2503	\$550.00	Plant issue fee	
1504	\$300.00	1504	\$300.00	Publication Fee for early, voluntary, or	

  
Bobby B. Gillenwater  
Reg. No. 31,105

(Rev. 12/04)



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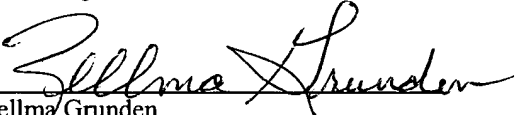
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Zellma Grunden

**PRELIMINARY AMENDMENT**

Commissioner of Patents  
P. O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Prior to the examination of the above-identified application, please amend the application as follows: